

A simple construction of bialgebra deformations*

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Abstract

Let A denote a bialgebra over a field k and let $A_t = A[[t]]$ denote the ring of formal power series with coefficients in A . Assume that A is also isomorphic to a free, associative algebra over k . We give a simple construction which makes A_t a bialgebra deformation of A . In typical applications, A_t is neither commutative nor cocommutative. This construction yields bialgebra deformations associated with families of trees.

Let A denote a bialgebra over a field k and let $A_t = A[[t]]$ denote the ring of formal power series with coefficients in A . Assume that A is also isomorphic to a free, associative algebra over k . We give a simple construction which makes A_t a bialgebra deformation of A . In typical applications, A_t is neither commutative nor cocommutative. An interesting class of examples is obtained by taking the bialgebra A to be the Hopf algebra associated with certain families of trees as in [4]. In fact, these examples are closely related to each other and to algorithms pertaining to differential operators [5].

Formal deformations of bialgebras and quantum groups have also been studied from related viewpoints by Drinfeld [1], Gerstenhaber [2], and Gerstenhaber and Schack [3]

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We begin by giving some definitions related to power series and the completed tensor product. Suppose that k is a field and A and B are k -algebras. We let $A_t = A[[t]]$ denote the ring of formal power series over A with its usual multiplication. Let $f : A \rightarrow B_t$ be a k -linear map and write $f(a) = \sum_{n=0}^{\infty} c_f(a, n)t^n$ for $a \in A$. Define a k -linear map $\widehat{f} : A_t \rightarrow B_t$ by

$$\widehat{f}(\mathbf{a}) = \sum_{n=0}^{\infty} \left(\sum_{i+j=n} c_f(a_i, j) \right) t^n, \quad \text{for } \mathbf{a} = \sum_{n=0}^{\infty} a_n t^n \in A_t.$$

Observe that we can define a category $(Alg_k)_t$, whose objects are A_t and whose morphisms are k -linear maps $f : A_t \rightarrow B_t$ satisfying $f = \widehat{f|_A}$, where $f|_A$ is the restriction of f to A .

We define the *completed tensor product* $A_t \widehat{\otimes}_{k_t} B_t$ of A_t and B_t over k_t in this category to be $(A \otimes_k B)_t$. For $\mathbf{a} = \sum_{n=0}^{\infty} a_n t^n \in A_t$ and $\mathbf{b} = \sum_{n=0}^{\infty} b_n t^n \in B_t$ we let

$$\mathbf{a} \widehat{\otimes} \mathbf{b} = \sum_{n=0}^{\infty} \left(\sum_{i+j=n} a_i \otimes b_j \right) t^n.$$

In this category, we can also define a morphism of completed tensor products. See [6] for details.

The notions of algebra, coalgebra, bialgebra and Hopf algebra in the category $(Alg_k)_t$ are the same as those in the category of vector spaces over the field k except, of course, the structure maps are required to be morphisms. Let (A, m, η) be an algebra over k , where $m : A \otimes A \rightarrow A$ is multiplication and $\eta : k \rightarrow A$ defines the unity of A . Then $(A_t, \widehat{m}, \widehat{\eta})$ is an algebra in $(Alg_k)_t$. It is easy to see that $\widehat{m}(\mathbf{a} \widehat{\otimes} \mathbf{b}) = \mathbf{a} \mathbf{b}$ for $\mathbf{a}, \mathbf{b} \in A_t$. A morphism $f : A_t \rightarrow B_t$ is a morphism of algebras if and only if $f|_A : A \rightarrow B_t$ is a map of k -algebras.

Suppose that (A_t, Δ, ϵ) is a coalgebra in $(Alg_k)_t$. We say that $K \in A_t$ is grouplike if

$$\Delta(K) = K \widehat{\otimes} K \quad \text{and} \quad \epsilon(K) = 1.$$

We say that $\ell \in A_t$ is nearly primitive if

$$\Delta(\ell) = \ell \widehat{\otimes} K + H \widehat{\otimes} \ell$$

for some grouplike elements $K, H \in A_t$. If $K = H = 1$ then ℓ is said to be primitive. For an algebra A over a field k of characteristic 0 we let $\exp(at) = \sum_{n=0}^{\infty} \left(\frac{a^n}{n!} \right) t^n \in A_t$.

Now we construct deformations of enveloping algebras over a field of characteristic 0 which are free as associative algebras on a space of primitives.

Theorem 1 *Suppose that V is a vector space over a field k of characteristic 0. Turn the tensor algebra (A, m, η) of V into a bialgebra $(A, m, \eta, \Delta, \epsilon)$ by defining $\Delta(\ell) = \ell \otimes 1 + 1 \otimes \ell$ and $\epsilon(\ell) = 0$ for $\ell \in V$. Let $p, q \in V$ and write V as a direct sum of subspaces $V = P \oplus P'$, where $P = \text{span}(p, q)$. Then there is bialgebra deformation $(A_t, \widehat{m}, \widehat{\eta}, \widehat{\Delta}, \widehat{\epsilon})$ of $(A, m, \eta, \Delta, \epsilon)$ such that*

- a) $\widehat{\Delta}(\ell) = \ell \widehat{\otimes} 1 + 1 \widehat{\otimes} \ell$ for $\ell \in P$,
- b) $K = \exp(pt)$ and $H = \exp(qt)$ are grouplike elements of $(A_t, \widehat{\Delta}, \widehat{\epsilon})$, and
- c) $\widehat{\Delta}(\ell) = \ell \widehat{\otimes} K + H \widehat{\otimes} \ell$ for $\ell \in P'$.

We comment that $K = \exp(tp) = 1$ when $p = 0$. If $p \neq 0$ and $q = 0$, for example, then the deformation of the theorem is not cocommutative. If $\dim(V) > 1$ then the free algebra A is not commutative. In this case the deformation of the theorem is not commutative. Finally, we comment that the Hopf algebras of trees described in [4] can be written as a free associative algebra over a certain basis of primitives so that the theorem applies.

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